



CONTRACTORS QUESTIONNAIRE

ALL QUESTIONS MUST BE ANSWERED

(Attach additional paper if necessary)

1. Applicant: Dba:
 - A. Years in business under current name:
 - B. Describe your operations:
 - C. Do you currently have, or have you had in the past, a controlling interest in any other similar operations whether active, *inactive or dissolved*? Yes No
If yes, please describe:
 - D. Have you ever declared bankruptcy under this name or any other similar entity in which you have had a controlling interest? Yes No
If yes, please provide the name of each entity, and the date and jurisdiction of bankruptcy:

2. Contractor's license number: States in which you do business:
 - A. New York State Applicants: Any work in the five boroughs of New York? Yes No
 - B. All Applicants: Do you do any work in Colorado? Yes No

3. List all other business names & licenses applicant has used in the past 10 years:
 - A. Describe the operations:

4. Does applicant currently own/operate any other business? Yes No
If yes, please provide the name of the business and percentage of ownership:
Describe the operations:

5. Percentage of current operations: General Contractor % Subcontractor % Construction Mgr: %

6. Do you use Subcontractors? Yes No If yes, please complete the following:
 - A. Percentage of subcontracted work: %
 - B. Annual subcontracting cost (including all of subs' labor and materials: \$
 - C. Describe all activities that are subcontracted. If you are a general contractor, describe the activities you do yourself:
 - D. Are there any circumstances when you do work for a general contractor that you use a subcontractor? If yes, please describe:

7. Do you collect certificates of insurance from **all** subcontractors? Yes No
 - A. What limits of coverage are required from these subcontractors? \$
 - B. Do you require all subcontractors to name you as an Additional Insured, including for Completed Operations, and is this part of the written contract? Yes No

C. Do you require all subcontractors to defend, indemnify and hold you harmless from their activities and is this part of the written contract? Yes No

D. Who reviews and maintains the certificates?

E. How long are they kept?

8. Estimates for next 12 months:

Payroll \$ Sub-Contract Cost \$ Gross Receipts: \$

5 Years Prior History if Applicable: 1st Year Gross Receipts: \$
2nd Year Gross Receipts: \$
3rd Year Gross Receipts: \$
4th Year Gross Receipts: \$
5th Year Gross Receipts: \$

9. Indicate the percentage of construction work performed by you: (MUST TOTAL 100%)

<u>RESIDENTIAL</u>	<u>%</u>	<u>COMMERCIAL</u>	<u>%</u>
New Construction	%	New Construction	%
Remodeling/Repair	%	Remodeling/Repair	%
Other	%		

10. Using percentage of payroll (under Direct) and percentage of contract costs (under Subbed), indicate the anticipated percentage of construction work you will perform over the next 12 months:

Type of Work	% Direct	% Subbed	Type of Work	% Direct	% Subbed	Type of Work	% Direct	% Subbed
Airport Runways			Excavation			Roofing		
Blasting			Fire Sprinkler			Seismic/Retrofitting		
Bridge Building			Grading			Sewer		
Carpentry			HVAC			Shower Door		
Concrete			Insulation			Steel/Structural		
Demolition			Maintenance			Steel/Ornamental		
Door/ Window			Masonry			Street/Road		
Drilling			Mechanical			Supervisory Only		
Drywall			Painting			Traffic Signals		
Earthquake			Plastering			Water/Gas Mains		
Electrical			Plumbing			Other:		

11. Describe your four largest projects over the past five years, including values:

12. List current projects currently underway or planned for the next year, including values:

13. How many new homes will you build from the ground up in the next year?

14. Have you ever built a home from the ground up? Yes No
A. How long ago? B. How many?

15. What type of Additional Insured Endorsements are you required to produce?
A. Ongoing Operations only Yes No
B. Ongoing Operations including Completed Operations Yes No
C. If yes, do you wish coverage for this exposure: Yes No

16. Do you anticipate needing Waivers of Subrogation in the next year? Yes No

B. Name of Carrier?

32. Have you performed or will you or your subcontractors perform any work below grade: Yes No
Maximum Depth: inches % of operations:
33. Any shoring, underpinning, cofferdam or caisson work? Yes No
If yes, please explain:
34. Have you worked or will you or your employees work under U.S. Longshoremen's and Harbor Workers Act or Jones Maritime Act? Yes No
35. Do you have a formal safety program in place? Yes No
36. Will your upcoming work involve construction of or involvement with condominiums or townhouses? Yes No
A. If yes, is the work new construction? Yes No
B. Repair or Remodel only? Yes No
C. Is the work done for Homeowners Associations (not individual unit owners?) Yes No
37. Have you ever worked in **new** condominiums/townhouses? Yes No
If yes, how long ago?
38. Will your upcoming work involve the construction of or involvement with apartments? Yes No
A. If yes, is the work new construction? Yes No
How many units in the entire Project?
B. Repair or Remodel only? Yes No
39. Have you ever worked in **new** Apartments? Yes No
If yes, how long ago? How many units in the entire building?
40. Will your upcoming work involve the construction of or involvement with **new** Duplexes, Triplexes, Fourplexes or Patio Homes? Yes No
If Yes, what is the maximum number in any development?
Are the units individually owned and titled? Yes No
41. Have you ever worked in **new** Duplexes, Triplexes, Fourplexes or Patio Homes? Yes No
If yes, how long ago? Maximum number in any development?
42. Will your upcoming work involve construction in any **new** home tracts? Yes No
If yes, maximum number of homes in **ENTIRE TRACT DEVELOPMENT**
43. Have you ever worked in **new** tract developments? Yes No
If yes, how long ago?
How many units in the entire development?
44. Any current Wrap-Up/OCIP/CCIP Projects? Yes No
A. Name of Carrier?
45. Have you ever worked in **new** assisted living facilities? Yes No
If yes, how long ago? How many units in the entire building?
Does it involve any individual unit ownership? Yes No
46. Have you or will you ever convert apartments to condominiums? Yes No

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| 47. Any other exposures/operations not otherwise covered by this questionnaire?
If yes, please explain: | Yes | No |
| 48. Have there been any losses, claims or suits against you in the past eight years?
If yes, please describe: | Yes | No |
| a. Are there any claims or legal actions pending against any active, inactive or dissolved entities in which you have had a controlling interest? | Yes | No |
| If yes, please describe: | | |
| b. After inquiry, do you have knowledge of any pre-existing act, omission, event, condition or damage to any person or property that might reasonably be expected to give rise to any future claim or legal action against any person or entity identified in the application? | Yes | No |
| If yes, please describe: | | |
| c. Have you been accused of faulty construction in the past 8 years? | Yes | No |
| If yes, please describe: | | |
| d. Have you been accused of breaching a contract in the past 8 years? | Yes | No |
| If yes, please describe: | | |
| e. Have you filed any Mechanic's Liens in the past 8 years? | Yes | No |
| If yes, please describe: | | |

DEFINITIONS:

EIFS -Exterior Insulation Finishing Systems – means an exterior cladding or finish system used on any part of any structure and consisting of: a) a rigid or semi-rigid insulation board made of expanded polystyrene or other materials; and b) an adhesive and/or mechanical fasteners used to attach the insulation board to the substrate; and c) a reinforced base coat; and d) a finish coat providing surface texture and color.

GENERAL CONTRACTOR – A contractor, who exercises primary control of the job site, typically subcontracts a significant portion of the work, and/or is named in the construction documents as the general contractor of record.

RESIDENTIAL CONTRACTOR – Single or multi-unit family housing, including apartments, condominiums and townhouses, planned unit developments and tract housing or similar planned communities.

SUBSIDENCE – Any movement of the land or earth including landslides, mudflow, earth sinking, rising and shifting, collapse or movement of fill, earth settling, slipping, falling away, caving in, eroding or tilting and earthquake.

TORCH APPLIED ROOFING (MODIFIED BITUMEN) – This process which is also called torch welding, involves a modified bitumen installed on a roofing deck by means of a torch. A membrane is laid on the roof, heated by a torch and allowed to cool so that the material solidifies in place. The asphalt component in the membrane serves as an adhesive between the surface material and roof substrate.

MODIFIED BITUMEN – Also called “modbit” membranes consist of an asphalt and polymer blend which allow the asphalt to take on characteristics of the polymer.

HOT AIR WELDING – Hot Air Welding is a system utilizing a heating source (either electric or propane flame) attached to a hose, which blows hot air onto the membrane.

TRACT HOUSING – Developments where the houses are similar in price, physical characteristics, lot size and square footage; numerous houses of similar or complementary design constructed on a given expense of land, by a single builder.

WRAP-UP (OCIP/CCIP) – A policy providing coverage(s) for all interests in a major construction project. Also known as an OCIP (Owner Controlled Insurance Program) or a CCIP (Contractor Controlled Insurance Program).

WARRANTY: The purpose of this Contractors Questionnaire is to assist in the underwriting process. Information contained herein is specifically relied upon in determination of the applicant's insurability. Material misstatements or errors made on this form may provide a basis for the company's rescission of the policy at any time during the term of the policy, with the return of unearned premium. The undersigned, therefore, warrants that the information contained herein (consisting of 6 pages) is true and accurate to the best of his knowledge, information and belief. The Supplemental Questionnaire shall be the basis of any insurance policy that may be issued.

Signature of Applicant:* _____

Name & Title:

Date:

*Must be owner, executive officer or partner of the company

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND [NY: SUBSTANTIAL] CIVIL PENALTIES. (Not applicable in CO, DC, FL, HI, KS, MA, MN, NE, OH, OK, OR, VT or WA; in LA, ME, TN and VA, insurance benefits may also be denied)

IN THE DISTRICT OF COLUMBIA, WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS, IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT.

IN FLORIDA, ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE.

IN KANSAS, ANY PERSON WHO, KNOWINGLY AND WITH INTENT TO DEFRAUD, PRESENTS, CAUSES TO BE PRESENTED OR PREPARES WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY FOR PERSONAL OR COMMERCIAL INSURANCE, OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY FOR COMMERCIAL OR PERSONAL INSURANCE WHICH SUCH PERSON KNOWS TO CONTAIN MATERIALLY FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO; OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT.

IN MASSACHUSETTS, NEBRASKA, OREGON AND VERMONT, ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, MAY BE COMMITTING A FRAUDULENT INSURANCE ACT, WHICH MAY BE A CRIME AND MAY SUBJECT THE PERSON TO CRIMINAL AND CIVIL PENALTIES.

IN WASHINGTON, IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES, AND DENIAL OF INSURANCE BENEFITS.